Westwood®

Privacy **Notice**

FACTS - What does Westwood do with your personal information?

WHY? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

WHAT? The types of personal information we collect and share depend on the product or service you have with us. This information may include:

- Social Security Number
- Income
- Assets
- Investment Experience
- Account Transactions
- Risk Tolerance

HOW? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Westwood chooses to share; and, whether you can limit this sharing.

Reasons we can share your personal information	Does Westwood share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations	Yes	No
For our marketing purposes - to offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes - information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes - information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	Yes	Yes

Additional information

For more details on how we protect your personal information, please visit our website at https://westwoodgroup.com/privacy-policy/

If you have questions or want to limit our sharing or marketing to you:

For Westwood Trust or Westwood Advisors, LLC clients, call Julie Cooper at 214.624.9621. For Westwood Management Corp., Salient Capital, LP, Salient Advisors, LP, or Broadmark Asset Management, LLC clients, call Christina Di Biasio at 214.624.9598.

Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

Mail-in Form

If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

☐ Apply my choices only to me

☐ Apply my choices only to my joint account holder

Mark any/all you want to limit:

☐ Do not use my personal information to market to me.

☐ Do not allow any of your affiliates to use my personal information to market to me.

Name

Mail to:

Westwood Holdings Group, Inc.
ATTN: Data Privacy Group
200 Crescent Court
Suite 1200, Dallas, Texas 75201

Who is providing this notice? Westwood Holdings Group, Westwood Management Corp., Westwood Trust, Westwood Advisors, LLC, Salient Capital, LP, Salient Advisors, LP and Broadmark Asset Management, LLC.

How does Westwood protect my personal information? To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Westwood collect my personal information? We collect your personal information, for example, when you

- Open an account
- Seek Financial Advice
- Seek Advice about your investments
- Tell us about your investment or retirement portfolio
- Give us your employment history

Why can't I limit all sharing? Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes - information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else? Your choices will apply to everyone on your account - unless you tell us otherwise.

How can I limit the Westwood's Affiliates' marketing to me? You can limit the Affiliates' ability to market products and services to you based on your personal information (such as your income or account history) that they receive from us or other Affiliates by returning the form on the first page of this notice.

How long does my election to limit the Affiliates' marketing remain in effect? Your election to limit marketing to you by our Affiliates will continue indefinitely, until you notify us to change your election.

Definitions

Affiliates: Companies related by common ownership or control. They can be financial and non-financial companies. Our affiliates include Westwood Management Corp., Westwood Trust, Westwood Advisors, LLC, Broadmark Asset Management, LLC, Salient Advisors, LP, and Salient Capital, LP.

Non-Affiliates: Companies not related by common ownership or control. They can be financial and non-financial companies. Westwood only shares information with non-affiliates with your consent or for our or our affiliates' everyday business purposes.

Joint Marketing: A formal agreement between non-affiliated financial companies that together market financial products or services to you. Westwood Trust and Westwood Advisors, LLC enter into joint marketing agreements with unaffiliated financial companies, but these agreements prohibit disclosure of your nonpublic personal information except as may be permitted by applicable law.

Other Important Information

Westwood Trust Department of Banking Disclaimer: Westwood Trust is chartered under the laws of the State of Texas and by state law is subject to regulatory oversight by the Texas Department of Banking. Any consumer wishing to file a complaint against Westwood Trust should contact the Texas Department of Banking through one of the means indicated below:

- In person or U.S. Mail: 2601 North Lamar Boulevard, Suite 300, Austin, TX 78705-4294
- Fax Number: 512.475.1313
- E-mail: consumer.complaints@banking.state.tx.us
- Website: www.banking.state.tx.us