

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ► PURSUANT TO IRC SECTION 301(C)(1), THE PORTION OF A DISTRIBUTION WHICH IS DIVIDEND (AS DEFINED UNDER IRC SECTION 316) IS INCLUDABLE IN GROSS INCOME. PURSUANT TO IRC SECTION 301(C)(2), THE PORTION OF THE DISTRIBUTION WHICH IS NOT A DIVIDEND SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED BASIS OF THE STOCK.

18 Can any resulting loss be recognized? ► NOT APPLICABLE TO THIS TRANSACTION AS NO LOSS WOULD BE RECOGNIZED ON THE RETURN OF CAPITAL DISTRIBUTION. THE SHAREHOLDER'S COST BASIS SHOULD BE ADJUSTED TO REFLECT THE RETURN OF CAPITAL DISTRIBUTION WHICH MAY AFFECT THE GAIN OR LOSS REALIZED UPON THE DISPOSITION OF THE SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► PURSUANT TO IRC SECTION 6045, AS AMENDED BY THE EMERGENCY ECONOMIC STABILIZATION ACT OF 2008, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN THE COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DIVIDEND DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature ►

Print your name

A SIGNED COPY OF THIS FORM IS MAINTAINED AT THE OFFICES OF THE TAXPAYER

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name ►	Firm's EIN ►		Phone no.	
Firm's address ►				

PART I, QUESTION 10 AND 12:

CLASS	CUSIP NUMBER	TICKER SYMBOL
Class A	79471L404	SMAPX
Class C	79471L503	SMFPX
Institutional Class	79471L602	SMLPX
Class R	79471L735	SMRPX

PART II, QUESTION 14:

THE CORPORATION DECLARED THE FOLLOWING DISTRIBUTIONS TO SHAREHOLDERS DURING THE FISCAL YEAR ENDED NOVEMBER 30, 2016 THAT RETURN OF CAPITAL WAS APPLICABLE.

DETAIL OF EACH DISTRIBUTION MADE IS DESCRIBED BELOW:

CLASS	CUSIP NUMBER	TICKER SYMBOL	RECORD DATE	EX-DATE	PAYABLE DATE	AMOUNT	TAXABLE DIVIDEND AMOUNT	RETURN OF CAPITAL AMOUNT
Class A	79471L404	SMAPX	1/27/2016	1/28/2016	1/29/2016	\$0.149219	\$0.063924	\$0.085295
Class A	79471L404	SMAPX	4/27/2016	4/28/2016	4/29/2016	\$0.150262	\$0.064371	\$0.085891
Class A	79471L404	SMAPX	7/27/2016	7/28/2016	7/29/2016	\$0.144700	\$0.061988	\$0.082712
Class A	79471L404	SMAPX	10/26/2016	10/27/2016	10/28/2016	\$0.119220	\$0.051073	\$0.068147
Total Class A						\$0.563401	\$0.241356	\$0.322045

CLASS	CUSIP NUMBER	TICKER SYMBOL	RECORD DATE	EX-DATE	PAYABLE DATE	AMOUNT	TAXABLE DIVIDEND AMOUNT	RETURN OF CAPITAL AMOUNT
Class C	79471L503	SMFPX	1/27/2016	1/28/2016	1/29/2016	\$0.134638	\$0.057678	\$0.076960
Class C	79471L503	SMFPX	4/27/2016	4/28/2016	4/29/2016	\$0.138553	\$0.059355	\$0.079198
Class C	79471L503	SMFPX	7/27/2016	7/28/2016	7/29/2016	\$0.116130	\$0.049749	\$0.066381
Class C	79471L503	SMFPX	10/26/2016	10/27/2016	10/28/2016	\$0.102470	\$0.043897	\$0.058573
Total Class C						\$0.491791	\$0.210679	\$0.281112

CLASS	CUSIP NUMBER	TICKER SYMBOL	RECORD DATE	EX-DATE	PAYABLE DATE	AMOUNT	TAXABLE DIVIDEND AMOUNT	RETURN OF CAPITAL AMOUNT
Institutional Class	79471L602	SMLPX	1/27/2016	1/28/2016	1/29/2016	\$0.154000	\$0.065972	\$0.088028
Institutional Class	79471L602	SMLPX	4/27/2016	4/28/2016	4/29/2016	\$0.154000	\$0.065972	\$0.088028
Institutional Class	79471L602	SMLPX	7/27/2016	7/28/2016	7/29/2016	\$0.154000	\$0.065972	\$0.088028
Institutional Class	79471L602	SMLPX	10/26/2016	10/27/2016	10/28/2016	\$0.124000	\$0.053121	\$0.070879
Total Institutional Class						\$0.586000	\$0.251037	\$0.334963

CLASS	CUSIP NUMBER	TICKER SYMBOL	RECORD DATE	EX-DATE	PAYABLE DATE	AMOUNT	TAXABLE DIVIDEND AMOUNT	RETURN OF CAPITAL AMOUNT
Class R	79471L735	SMRPX	1/27/2016	1/28/2016	1/29/2016	\$0.153905	\$0.065932	\$0.087973
Class R	79471L735	SMRPX	4/27/2016	4/28/2016	4/29/2016	\$0.155241	\$0.066504	\$0.088737
Class R	79471L735	SMRPX	7/27/2016	7/28/2016	7/29/2016	\$0.156390	\$0.066996	\$0.089394
Class R	79471L735	SMRPX	10/26/2016	10/27/2016	10/28/2016	\$0.124460	\$0.053318	\$0.071142
Total Class R						\$0.589996	\$0.252750	\$0.337246