



Westwood Wealth Management®

IMPORTANT TAX NOTICE Form(s) 1099 & Form K-1

We anticipate mailing 2023 Form(s) 1099 for dividends, interest, original issue discount and gains and losses (collectively, Form 1099-DIOB) and Form K-1 for common trust fund holders by February 28, 2024. Please note that certain securities may be affected by information provided by third parties which may delay mailing. These are:

Late tax information from securities issuers. One or more of the issuers of your securities may be unable to provide us with tax information in time for the first mailing.

Reallocation of income by securities issuers. Certain income (e.g., dividends, capital gains, or return of capital) from one or more of your securities may need to be reallocated based on information received after February 28, 2024.

To reduce the number of potential corrected forms you could receive and to ensure accuracy, we will mail your forms once all information has been received and allocated.

Important Tax Information

Please note that the reporting of cost basis on securities sales is required for equities purchased after January 1, 2011; mutual funds purchased after January 1, 2012; and simple debt securities, options, rights and warrants acquired on or after January 1, 2014. Form 1099-B (included in Form 1099-DIOB) reflects these reporting requirements.

Form(s) will be mailed directly to you by Westwood or our tax vendors (FIS Tax for Form 1099-DIOB; BDO Tax for Form K-1). If you have questions, please contact your advisor.

Thank you for your continued business, and we look forward to assisting you this tax season.

Your Wealth Management Team

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